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Attorney Docket No.: 21465-501 CIP2



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Rothberg et al.

CONFIRMATION NUMBER: 6233

SERIAL NUMBER: 09/814,338

EXAMINER:

YOUNG J. KIM

FILING DATE: March 21, 2001

ART UNIT:

1637

FOR: Method of Sequencing a Nucleic Acid

### MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### TRANSMITTAL LETTER

Transmitted herewith for filing in the present application are the following documents:

- 1. Supplemental Information Disclosure Statement (3 pages), in duplicate;
- 2. Modified Form 1449/PTO (1 page), in duplicate;
- 3. Cited References B90-B91;
- 4. Check #2879 for the amount of \$180.00; and
- 5. Return Postcard.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (212) 935-3000.

The Commissioner is authorized to charge any fees that may be due, or credit any overpayment of the same, to the undersigned's account, Deposit Account No. 50-0311 Ref. No. 21465-501 CIP2. Please address all correspondence to customer number 35437. A duplicate copy of this transmittal letter is enclosed herewith.

Respectfully submitted,

Dated: October 24, 2005

Ivor Elrifi, Reg. No. 39,529

Caryn DeHoratius, Reg. No. 45,881

Attorneys for Applicants

c/o MINTZ, LEVIN, COHN, FERRIS

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## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

## **Documents Submitted as Part of Applicants' Reply to Office Action**

Further to the Supplemental Amendment and Response filed on October 14, 2005, Applicants point out that the documents presented as Exhibits 1-5 in the Response need not be submitted in an Information Disclosure Statement to be considered by the Examiner. MPEP §609(III)(C)(3) clearly provides that:

Occasionally, documents are submitted and relied on by an applicant when replying to an Office action. These documents may be relied on by an applicant, for example, to show that an element recited in the claim is operative or that a term used in the claim has a recognized meaning in the art. Documents may be in any form but are typically in the form of an affidavit, declaration, patent, or printed publication.

To the extent that a document is submitted as evidence directed to an issue of patentability raised in an Office action, and the evidence is timely presented, applicant need not satisfy the requirements of 37 CFR 1.97 and 37 CFR 1.98 in order to have the examiner consider the information contained in the document relied on by applicant. In other words, compliance with the information disclosure rules is not a threshold requirement to have information considered when submitted by applicant to support an argument being made in a reply to an Office action.

Because the documents presented as Exhibits 1-5 were presented as evidence directed to an issue of patentability (objective evidence of nonobviousness), Applicants need not list such

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references in an Information Disclosure Statement. See MPEP §609(III)(C)(3). Consideration of the submitted references is respectfully requested.

# **Documents Submitted in Form PTO-1449**

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicant hereby makes of record the documents listed on the attached modified Supplemental Form PTO-1449 (submitted in duplicate) in the above-identified application, copies of which are submitted herewith.

This Supplemental Information Disclosure Statement is being filed more than three months after the filing date of this application but before the mailing date of a Notice of Allowance under 37 C.F.R. §1.311. Accordingly, the fee of \$180 as set forth in 37 C.F.R. §1.17(p) is enclosed.

It is respectfully requested that the Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims. It is also respectfully requested that the Examiner initial, sign and date, and return a copy of the signed modified Supplemental Form PTO-1449 with the next U.S. Patent and Trademark Office communication, to evidence that the cited information has been fully considered by the U.S. Patent and Trademark Office during the examination of this application.

By submitting this Supplemental Information Disclosure Statement, the Applicants make no representation that: (1) a search has been performed, the extent of any search performed, or that more relevant information does not exist; (2) the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b); and (3) the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

The order of presentation of the references should not be construed as an indication of the importance of the references. The Examiner is urged to form his/her own conclusion regarding the relevance of the cited information.

Attorney Docket No.: 21465-501 CIP2 Applicants: Rothberg et al.

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Dated: October 24, 2005

Please charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 21465-501 CIP2.

Respectfully submitted,

Ivor Elrifi, Reg. No. 39,529 Caryn DeHoratius, Reg. No. 45,881

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Modified Form 1449/PTO	Application Number	09/814,338
SUPPLEMENTAL	Filing Date	03/21/01
INFORMATION DISCLOSURE	First Named Inventor	Rothberg
STATEMENT BY APPLICANT	Group Art Unit	1637
	Examiner Name	Young J. Kim
(use as many sheets as necessary)	Attorney Docket Number	21465-501 CIP2

U.S. PATENT DOCUMENTS						<del></del>	
Exam Initials	Cite No.	U.S. Patent Document No.	Issue Date	Name of Patentee(s) or Applicant(s)	Class	Sub Class	Filing Date If Appropriate

FOREIGN PATENT DOCUMENTS							
Exam Initials	Cite No. Poreign Patent Document Office Number		<del>-</del>	Name of Patentee(s) or Applicant(s)	Date of Publication	English Yes No	
	B90	wo	97/19193	Yale University	May 29, 1997	×	
	B91	wo	98/58079	Krull, et al.	December 23, 1998	×	

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Exam Initials	Cite No.	Name of Author, Title (when appropriate), Publication, Volume, Page(s), Date, Etc.	

Serial No	erence is not provided as it was , filed continuation, continuation-in-pa	previously cited by or submitted to the office in a prior application , and relied upon for an earlier filing date under t, and divisional applications).	on,
Examiner Signature		Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to applicant.